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02/21/02  
JCS20 U.S. PTO

Practitioner's Docket No. 17396/09057CIP

PATENT

Preliminary Classification: Proposed Class: Subclass:
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J1050 U.S. PTO  
10/08/02  
02/21/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner for Patents  
Post Office Box 2327  
Arlington, VA 22202

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Jawed Asrar, Ernest F. Sanders, and Yiwei Ding

**CERTIFICATION UNDER 37 C.F.R. § 1.8(a) and 1.10\***  
**(When using Express Mail, the Express Mail label number is mandatory;**  
**Express Mail certification is optional)**

I hereby certify that, on the date shown below, this correspondence is being:

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☒ deposited with the United States Postal Service in an envelope addressed to Box Patent Application, Commissioner for Patents, P. O. Box 2327, Arlington, VA 22202

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☒ as "Express Mail Post Office to Addressee" Mailing Label  
No. ET938670044US

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, 703 \_\_\_\_\_

  
Signature

Date: February 21, 2002

Diane Filatov  
Type or print name of person certifying

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

For (title):       METHOD OF IMPROVING YIELD AND VIGOR OF PLANTS BY TREATMENT  
                      WITH DIAZOLE, TRIAZOLE AND STROBILURIN-TYPE FUNGICIDES

**1.     Type of Application**

This transmittal is for a continuation-in-part (C-I-P) application.

**2.     Benefit of Prior U.S. Application (35 U.S.C. Sections 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. applications as follows:

"This application is a continuation-in-part of copending application serial number 10/026,301 filed on December 19, 2001, which claimed priority to U.S. Provisional Patent Application Serial Number 60/257,502 which was filed on December 22, 2000."

**3.     Papers Enclosed**

**A.     Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application**

67 Page(s) of Specification (including title page)

8 Page(s) of Claims

1 Page(s) of abstract

**B.     Other Papers Enclosed**

5 Page(s) of Patent Application Data Sheet

**4.     Declaration or Oath**

Not Enclosed.

Application is made by a person authorized under 37 C.F.R. Section 1.41(c) on behalf of all of the above-named inventors.

**5.     Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6.     Language**

English

**7.     Assignment**

An assignment of the invention to follow.

8. **Certified Copy**

Not applicable.

9. **Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
<hr/>					
Total Claims (37 CFR 1.16(c))	63	- 20 =	43 x	\$18.00	\$774.00
<hr/>					
Independent Claims (37 CFR 1.16(b))	8	- 3 =	5 x	\$84.00	\$420.00
<hr/>					
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$280.00	\$0.00
<hr/>					
Filing Fee Calculation					<b>\$1,934.00</b>

10. **Fee Payment Being Made at This Time**

Total Fees Enclosed **\$1,934.00**

11. **Method of Payment of Fees**

Check in the amount of \$1,610.00 is enclosed.

12. Instructions as to Overpayment

Credit Account No. 50-1196.

13. Relate Back

"This application is a continuation-in-part of copending application serial number 10/026,301 filed on December 19, 2001, which claimed priority to U.S. Provisional Patent Application Serial Number 60/257,502 which was filed on December 22, 2000."

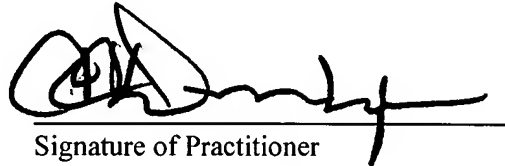
Date:

Feb. 21, 2002

Reg. No.: 35,124

Tel. No.: 864-250-2238

Fax No: 803-256-7500



Signature of Practitioner

Charles E. Dunlap

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Columbia, SC 29201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jawed Asrar, Ernest F. Sanders, and Yiwei Ding

Application No.: Not yet assigned

Group No.: Unknown

Filed: February 21, 2002

Examiner: Unknown

For: METHOD OF IMPROVING YIELD AND VIGOR OF PLANTS BY TREATMENT WITH DIAZOLE, TRIAZOLE, AND STROBILURIN-TYPE FUNGICIDES

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02-21-2002

U.S. Patent & TMO/TM Mail Rcpt Dt. #22

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ET938670044US

Date of Deposit February 21, 2002

I hereby state that the following *attached* papers or fees

1. Fee Transmittal (1 page).
2. New Application Transmittal (4 pages).
3. Patent Application Data Sheet (5 pages).
4. Patent Application, Title Page - Abstract (76 pages).
5. Check for \$1,93400
6. Return Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed Box Patent Application, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Diane Filatov

Signature of person mailing paper or fee

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 1934.00

## Complete if Known

Application Number	Unassigned
Filing Date	02/21/2002
First Named Inventor	Jawed Asrar et al.
Examiner Name	Unknown
Group Art Unit	
Attorney Docket No.	17396/09057CIP

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-1196  
Deposit Account Name: Nelson Mullins Riley...

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 740.00

### 2. EXTRA CLAIM FEES

Total Claims: 63  
Independent Claims: 8  
Multiple Dependent: 55

Extra Claims: 43  
Fee from below: 18.00  
Fee Paid: 774.00

3\*\* = 5  
Fee Paid: 420.00

Fee Paid: 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 1194.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

Name (Print/Type) Charles E. Dunlap

Registration No. 35,124  
(Attorney/Agent)

## Complete (if applicable)

Telephone 864-250-2238

Signature

Date 02/21/2002

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